



COPY OF PAPERS
ORIGINALLY FILED

PATENT APPLICATION
Attorney's Do. No. 8514-25

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Ned Hoffman and Philip D. Lapsley

Serial No.: 09/398,914

Group Art Unit: 2161

Filed: September 16, 1999

Examiner Cosimano

For: SYSTEM AND METHOD FOR PROCESSING
TOKENLESS BIOMETRIC ELECTRONIC
TRANSMISSIONS USING AN ELECTRONIC
RULE MODULE CLEARINGHOUSE

Box Non Fee Amendment
Assistant Commissioner for Patents
Washington, D.C. 20231

RECEIVED
JAN 24 2002
Technology Center 2100
I HEREBY CERTIFY THAT THIS
RESPONSE IS BEING DEPOSITED
WITH THE UNITED STATES POSTAL
SERVICE AS FIRST CLASS MAIL IN AN
ENVELOPE ADDRESSED TO:

☐ COMMISSIONER OF PATENTS AND
TRADEMARKS, WASHINGTON D C
20231

☒ ASSISTANT COMMISSIONER FOR
PATENTS, WASHINGTON D.C. 20231

☐ ASSISTANT COMMISSIONER FOR
TRADEMARKS, 2906 CRYSTAL DRIVE
ARLINGTON VA 22202-4343

ON: 26 JAN 2001

RECEIVED

MAY 08 2002

GROUP 3600

PRELIMINARY AMENDMENT

Preliminary to examination, please amend the application as follows:

In the specification:

Replace the indicated paragraphs as follows:

Page 17, paragraph starting at line 10, ending at line 18:

Tokenless biometric electronic transmissions are characterized by identifying the user with the user's bid biometric sample 62 submitted through a stand alone BIA 16 which is directly connected via dial-up, leased lines or wireless modem 56 to the Internet 18, or through a BIA 16 incorporated to any client terminal such as a desktop personal computer, a notebook computer, a thin-client, or other public terminal or kiosk 60 such as an Automated Teller Machine (ATM). In a preferred embodiment, the user is identified through biometrics while third-party databases 28 which are accessed to complete the electronic transmission are identified through the verification of a digital certificate issued by an authorized certifying authority.



COPY OF PAPERS
ORIGINALLY FILED

GP/2161

PATENT APPLICATION
Attorney's Do. No. 8514-25 (STA-21)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Ned Hoffman and Philip D. Lapsley

Serial No.: 09/398,914

Group Art Unit: 2161

Filed: September 16, 1999

Examiner Cosimano

For: SYSTEM AND METHOD FOR PROCESSING
TOKENLESS BIOMETRIC ELECTRONIC
TRANSMISSIONS USING AN ELECTRONIC
RULE MODULE CLEARINGHOUSE

RECEIVED

MAY 0 8 2002

GROUP 3600

RECEIVED
JAN 30 2002
Technology Center 2100

Box Non Fee Amendment
Assistant Commissioner for Patents
Washington, D.C. 20231

Enclosed is a Preliminary Amendment in the above-identified application.

The fee has been calculated as shown below.

| CLAIMS AS AMENDED | | | | | |
|--|---------------------------|--------------------|-------|----------|-------------------|
| For: | Number After Amendment | Previous Number | Extra | Rate | Additional Fee |
| Total Claims | 25 | -25* | 0 | x \$9 = | \$ 0 |
| Independent Claims | 3 | -3* | 0 | x \$42 = | \$ 0 |
| TOTAL ADDITIONAL FEE FOR THIS AMENDMENT | | | | | \$ 0 |

*greater of twenty (20) or number for which fee has been paid

**greater if three (3) or number for which fee has been paid

- ☒ No additional fee is due.
☒ Any deficiency or overpayment should be charged or credited to deposit account
number 13-1703.



20575

PATENT TRADEMARK OFFICE

Respectfully submitted,

MARGER JOHNSON & MCCOLLOM, P.C.

By

Alexander C. Johnson, Jr.
Reg. No. 29,396

cc: Michael J. Biber
Philip D. Lapsley

1030 S.W. Morrison Street
Portland, Oregon 97205
Telephone: (503) 222-3613

RECEIVED

MAY 0 8 2002

GROUP 3600